CFA Society Singapore

Ethics Workshop

A practical, case-based workshop for investment professionals to build confidence in ethical decision-making.

9:00 AM – 3:30 PM SGT

Online via Zoom

Scan to register



About CFA Society Singapore

CFA Society Singapore is a non-profit professional society of CFA Institute that serves CFA charterholders, CFA® Program candidates and practitioners in the investment industry locally.

Founded in September 1987, CFA Society Singapore (formerly known as the Singapore Society of Financial Analysts – SSFA) is the first CFA Society® to be established outside of North America and is a founding member of the Investment Management Association of Singapore (IMAS). Today, it is the 7th largest CFA Society in the world with over 4,000 members.

Our principal objective is to promote and uphold professional standards and ethical practice in financial analysis and investment management in Singapore.

We support members and the wider investment community through professional development programmes led by industry practitioners, networking opportunities, career development talks and university outreach initiatives.

Our Vision

Inspiring the investment community to be trusted professionals valued by society.

Our Mission

To lead the investment profession by promoting the highest standards of ethics, education, and professional excellence for the ultimate benefit of society.

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Why This Matters

Strengthen your professional credibility, safeguard client interests and build trust through a focused, case-based ethics workshop.

About the Course

Adapted from the CFA Institute Code of Ethics and Standards of Professional Conduct and customised for investment professionals in Singapore, this workshop provides practical insights into navigating ethical dilemmas and upholding professional integrity.

CFA Institute is the global, not-for-profit association of investment professionals that awards the CFA® designation — the gold standard in investment management. It champions ethical behaviour in investment markets and is a respected source of knowledge in the global financial community. CFA Society Singapore is part of the CFA Institute global network of societies.

Through guided discussions and case studies, participants will deepen their understanding of ethical issues and explore how to apply ethical principles in their professional duties and responsibilities.

The workshop comprises **6 hours, including assessment, excluding the break**.

Prerequisite Requirements

- No prior experience is required. Experienced professionals can build on their existing knowledge through the case studies.
- Active participation in lectures and discussions is expected.

Course Completion Requirements

- Participants must complete Modules 1 and 2 and pass the course assessment.
- Participants who fulfil these requirements will receive a **Certificate of Completion**.

Who Should Attend

Investment professionals who want to strengthen their understanding of ethical dilemmas and apply the CFA Institute Code of Ethics and Standards of Professional Conduct in a structured and practical way.

Suitable for:

- Financial advisers, portfolio managers and analysts.
- Investment professionals in research or trading roles.
- Compliance, risk and operations personnel.
- Professionals responsible for client-facing investment decisions.

What You Will Learn

By the end of the workshop, participants will be able to:

- Demonstrate thorough knowledge of the CFA Institute Code of Ethics and Standards of Professional Conduct.
- Interpret ethical standards in real-world scenarios involving professional integrity.
- Recommend practices and procedures to prevent violations of the Code and Standards.

Professional Learning

6 Professional Learning (PL) hours.

Module 1

CFA Institute Code of Ethics and Standards of Professional Conduct (3 Hours)

High ethical standards are critical to maintaining public trust in financial markets and the investment profession. Since their creation in the 1960s, the Code and Standards have promoted the integrity of CFA Institute members and served as a model for evaluating the ethics of investment professionals globally, regardless of job function, cultural differences, or local laws and regulations.

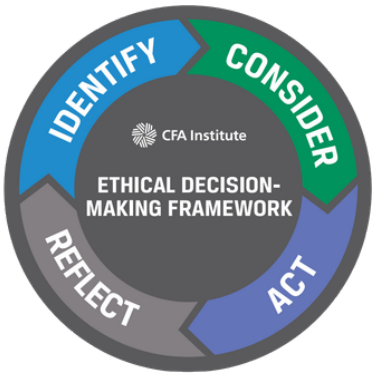
Topics covered:

- Code of Ethics
- Standards of Professional Conduct
  - Material Non-public Information
  - Suitability
  - Knowledge of the Law
  - Misconduct
  - Preservation of Confidentiality

Module 2

CFA Institute Ethical Decision-Making (EDM) Framework (2 Hours)

This module guides participants through complex situations where the answer is not simply "right" or "wrong". Neither a linear model nor a checklist, the EDM Framework presents four key elements of ethical decision-making, illustrated in the diagram on the right.



It provides a structured, step-by-step approach to:

1. Identify the ethical principles and important facts relevant to a dilemma.
2. Evaluate situational influences before making a decision.
3. Reflect on actions to resolve ethical dilemmas in the best interests of clients.

Through practical case studies, participants will gain hands-on experience applying these principles with confidence in real-world scenarios.

Assessment (1 Hour)

Participants will complete a multiple-choice assessment to demonstrate their understanding and application of the knowledge gained in Modules 1 and 2.

Course Fees

Registration Category	Full Course Fee (SGD)
CFA Society Singapore Members and CFA Institute Members	$180
Partner Organisations	$220
Public	$280

All fees exclude GST.

Workshop Schedule

Wednesday, 2 December 2026

Time	Session
9:00 AM–12:00 PM	Introduction and Module 1: CFA Institute Code of Ethics and Standards of Professional Conduct
12:00 PM–12:30 PM	Break
12:30 PM–2:30 PM	Module 2: CFA Institute Ethical Decision-Making Framework
2:30 PM–3:30 PM	Assessment

All timings are in Singapore time (SGT).

Use Your S$100 Member Benefit Credit



Eligible CFA Society Singapore members may apply their **S$100 Member Benefit** Credit towards the course fee. Subject to applicable eligibility and redemption terms.

Meet Your Trainer



Connect on LinkedIn

Kok Kah Fai, CFA, CIPM

Head of APAC, BCA Research

Kok Kah Fai is Head of APAC at BCA Research, a leading independent investment research provider. BCA's research insights support investment management and asset allocation decisions across traditional asset managers, alternative investment firms, wealth managers, banks and asset owners globally.

Prior to joining BCA Research, Kah Fai played a key role in building the Asia-Pacific businesses of Rimes Technologies, a market leader in investment and ESG data management; RiskMetrics, formerly JP Morgan Risk Analytics and now part of MSCI; and Bloomberg Portfolio Analytics (PORT).

His subject matter expertise spans investment analysis, portfolio construction and backtesting, as well as portfolio credit and market risk methodologies, including CreditMetrics, RiskMetrics, and historical and predictive stress testing. He is also certified in performance attribution analysis across equities, fixed income and balanced portfolios, including Global Investment Performance Standards (GIPS) requirements.

Beyond his professional work, Kah Fai delivers foundational financial literacy training through Credit Counselling Singapore and AIDHA. Kah Fai graduated summa cum laude in Mechanical Engineering and Aerospace Engineering from Princeton University, where he also earned a Certificate in Engineering Management Science. He is a member of the Phi Beta Kappa, Tau Beta Pi and Sigma Xi honor societies.

His professional certifications include the CFA® designation, Professional Risk Manager (PRM), Certificate in Investment Performance Measurement (CIPM) and the CFA Institute Certificate in Sustainable Investing.

Refund Policy

Cancellation of enrolment and refunds of course fees are governed by the refund policy below.

Percentage of Total Fees Paid to Be Refunded	When the Participant's Written Notice of Withdrawal Is Received
100%	More than 60 days before the programme commencement date
70%	Before, but no more than 60 days before, the programme commencement date
30%	Before, but no more than 30 days before, the programme commencement date
10%	More than 7 days before the programme commencement date
0%	On or after the programme commencement date

Course Terms & Conditions

1. This is an online course.
2. Enrolment will only be confirmed upon receipt of full payment.
3. Cancellation of enrolment and refunds of course fees strictly follow the refund policy table. All cancellation requests will be considered at the sole discretion of CFA Society Singapore.
4. CFA Society Singapore reserves the right to:
    - Cancel, postpone or make changes to the terms of the course at its sole discretion without prior notice.
    - Reject unverifiable or invalid registrations.
5. This course will proceed only if the minimum enrolment requirement is met.
6. By registering, you accept CFA Society Singapore's terms, available at https://cfasocietysingapore.org/data-protection-policy/.
7. Photos will be taken during the course for use on CFA Society Singapore's website, social media, publicity and other related purposes.
8. Discount codes issued by CFA Society Singapore are strictly non-transferable and intended solely for the personal use of the member to whom they are issued. CFA Society Singapore reserves the right to revoke any discount or registration found to be in breach of this condition.

For further enquiries, please email executiveprog@cfasocietysingapore.org.



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